

2131

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**YOR920000599US1(13873)**

In Re Application Of:

**M. Mourad, et al.**

Serial No.

09/667,286

Filing Date

September 22, 2000

Examiner

Unassigned

Group Art Unit

2131

Title: **TRANSPARENT DIGITAL RIGHTS MANAGEMENT FOR EXTENDIBLE CONTENT VIEWERS**



Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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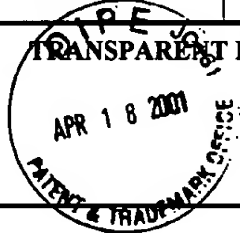
Examiner

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2131

Title: **TRANSPARENT DIGITAL RIGHTS MANAGEMENT FOR EXTENDIBLE CONTENT VIEWERS**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0510/IBM** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. \_\_\_\_\_) on \_\_\_\_\_ (Date) \_\_\_\_\_ Signature \_\_\_\_\_

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 4/16/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence \_\_\_\_\_

Mishelle Mustafa

Typed or Printed Name of Person Mailing Correspondence \_\_\_\_\_

\*This certificate may only be used if paying by deposit account.

*John S. Sensny*  
Signature

John S. Sensny  
Registration No. 28,757

Dated: April 16, 2001

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CC:

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PATENTS  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



**Applicant(s):** M. Mourad, et al.

**Examiner:** Unassigned

**Serial No:** 09/667,286

**Art Unit:** 2131

**Filed:** September 22, 2000

**Docket:** YOR920000599US1(13873)

**For:** TRANSPARENT DIGITAL RIGHTS  
MANAGEMENT FOR EXTENDIBLE  
CONTENT VIEWERS

**Dated:** April 16, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. United States Patent No. 5,664,098;  
issued September 2, 1997
2. United States Patent No. 5,774,551;  
issued June 30, 1998
3. United States Patent No. 5,903,721;  
issued May 11, 1999
4. United States Patent No. 5,958,050;  
issued September 28, 1999
5. United States Patent No. 5,991,399;  
issued November 23, 1999

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 16, 2001.

Dated: April 16, 2001

  
Mishelle Mustafa

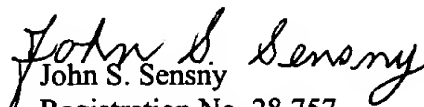
6. United States Patent No. 6,058,383;  
issued May 2, 2000
7. United States Patent No. 6,081,899;  
issued June 27, 2000
8. United States Patent No. 6,085,320;  
issued July 4, 2000
9. United States Patent No. 6,092,202;  
issued July 18, 2000
10. United States Patent No. 6,098,056;  
issued August 1, 2000
11. Mourad, M. et al., "Transparent Digital Rights Management For  
Extendible Content Viewers", IBM T.J. Watson Research Center, pp. 1-34,  
August 2000.

Applicants are submitting copies of the above-cited references. All or the references are in English, therefore, no translations are required.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no petition, certification or fee is required.

Consideration of this Information Disclosure Statement is respectfully requested.

Respectfully submitted,

  
John S. Sensny  
Registration No. 28,757

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JSS:lf